

Appendix 'A'

(Refers to Para 4 of Minutes of
Council Meeting held on 19 Dec 2013)

PRESIDENT'S REPORT FOR 2013

1. Gentlemen, it gives me great pleasure to welcome you to the fourth and last meeting of the present Council. It is my privilege to present the report of the Institution for 2013. A copy of the report has been placed on the table and will be included in the minutes of the meeting. Later it will be put up on the web site.

Finances

2. Audit Report, Revised Estimates for 2013-14, and Budget Estimates for 2014-15 are already with you. Balance Sheet has been placed on the table.

3. We are managing with our limited resources as efforts to get a grant for the Corpus Fund from the MOD have not fructified. The Research Centres are not able to meet their expenditure from their yearly income and are allocated money from the Corpus Fund annually. We need to examine the feasibility of getting grants without compromising on our autonomy.

Membership

4. The membership continues to grow at a steady rate. As on 30 Nov 2013 the membership state was as under:-

	<u>Total</u>
(a) Life Members	10,956
(b) Ordinary Members	3,967
(c) Associate Members	85
	<hr/> <u>15,008</u>

USI Journal

5. The Journal continues to be published uninterruptedly since 1871. The Journal is sent to all members. In addition, 570 copies are currently being subscribed, including 20 year subscription by 72 units.

USI Digest

6. The publication has been stopped after Vol XV No 30, as most information is now available on the internet and it was becoming financially unviable.

Library

7. During the period 01 Nov 2012 – 31 Oct 2013, 344 books were purchased, 45 received for review, and 534 received complimentary, including 268 by daughter of late Col Gautam Sharma and 55 by Lt Gen AM Vohra.

Seminars

8. **Thirty Fourth National Security Seminar.** It was conducted on 07 – 08 Nov 2013 on “Perspective of Indo-Pacific Region : Aspirations, Challenges and Strategy”. Amongst others, it was attended by 21 foreign participants from eight countries. MEA supported the seminar as hithertofore.

9. A seminar on “Enhancing India – Central Asia Engagement : Issues and Prospects” was held on 18 – 19 Mar 2013. Amongst others 8 foreign participants from 4 Central Asian countries took part. MEA supported the seminar.

10. A seminar on “Military Records Management” was organized by CAFHR on 13 – 14 Mar 2013. Amongst others three foreign participants also took part.

Lectures and Discussions

11. **Eleventh Maj Gen Samir Sinha Memorial Lecture.** This was delivered by Shri PV Kumar, Chairman, NTRO on 10 Apr 2013 on the subject “Cyber Warfare and National Security” and has been published in the USI Journal for Apr – Jun 2013.

12. **Seventeenth Col Pyara Lal Memorial Lecture.** This was delivered by Lt Gen Vijay Oberoi, PVSM, AVSM, VSM (Retd) on 04 Sep 2013 on “Revitalising the Image of the Armed Forces”. The text has been published in the USI Journal for Jul – Sep 2013.

13. **Twenty Ninth National Security Lecture.** This was delivered on 06 Dec 2013 by Shri NN Vohra, IAS (Retd), Governor J&K on “Civil Military Relations : Opportunities and Challenges”. The text is being published in the USI Journal for Oct – Dec 2013. The text is being published in the USI Journal for Oct – Dec 2013.

14. **Other Lectures and Discussions.** List of these is at Annexure 1 attached.

National Security Paper

15. The Twenty Ninth National Security Paper on “A Comprehensive National Security Strategy to Counter China’s Vision 2030” is being written by Dr Srikant Kondapalli.

Activities at Other Stations

16. **Talk at Chandimandir.** : Lt Gen Satish Nambiar, PVSM, AVSM, VrC (Retd) delivered a talk on "Restructuring & Integration of the MOD with the Three Services" at HQ Western Command on 26 Jul 2013.

Interaction With Foreign Institutions

17. **Institute of Defense Studies (IDS) Vietnam.** A delegation led by Lt Gen Nguyen Dinh Chie, DGIDS and four Sr Cols was hosted by USI from 15 to 19 May 2013.

18. **China Institute of International Strategic Studies (CIISS).** A USI delegation comprising Maj Gen PJS Sandhu, Air Mshl KK Nohwar, Cmde Sujeet Samaddar and Dr Roshan Khaniejo visited China at the invitation of CIISS from 9 to 14 Sep 2013. The delegation also interacted with China Institute of Contemporary International Studies and had a meeting with Admiral Sun Jianguo, Dy CGS PLA.

Participation in International Conferences

19. USI delegates participated at 22 international conferences / seminars during the year.

USI CENTRE FOR STRATEGIC STUDIES AND SIMULATION (USI-CS3)

20. **Publications.** Following books were published during the year:-

- | | | |
|-----|---|---|
| (a) | Comprehensive National Power: A Model for India | USI Team |
| (b) | India's Special Forces. | Lt Gen PC Katoch and
Shri Saikat Datta |
| (c) | Bangladesh – The Success of NGOs and the Next Elections. | Shri EN Rammohan |
| (d) | Restructuring and Integration of the Ministry of Defence with the Three Service Headquarters. | Lt Gen Satish Nambiar |
| (e) | Trade Commerce and Security Challenges in the Asia Pacific Region. | Ed – Maj Gen YK Gera |
| (f) | Territorial Army : Future Challenges. | Lt Col Harish Katoch |
| (g) | The Third Dimension : Air Power in Combating the Maoist Insurgency. | Gp Gapt AK Agarwal |
| (h) | India's Energy Security. | Lt Col Vivek Dhall |
| (j) | The Amazing Growth and Journey of UAV's and BMD Capabilities. | Lt Gen VK Saxena |
| (k) | Understanding Cyber Warfare and its Implications for Indian Armed Forces. | Col RK Tyagi |
| (l) | Civilian Capacity Building for Peace Operations in a Changing World. | Ed – Lt Gen PK Singh, Brig
VK Saxena & Capt S
Dewan, IN |
| (m) | India-Japan-USA Trilateral: Proceedings of Meetings of 2011, 2012 and 2013. | Ed – Capt S Dewan, IN |
| (n) | Military Applications of Space – The Indian Perspective. | Gp Capt RK Singh |

21. **On Going Research.** The USI continues to encourage research on a wide variety of subjects leading to publication of books. Presently the following research is under way :-

(a) Integrated Maritime Security.
Dr P Paleri

(b) Art of Generalship
Lt Gen KK Khanna

(c)Central Asia Connect Policy.
Maj Gen BK Sharma

(d) South Asia
Maj Gen PK Goswami

(e) Central Asia
Prof Nirmala Joshi

(f)PLA Modernization and Likely Force Structure by 2025.
Col NSP Bisht

(g)Analysis of Logistics Support Chain Management of Indian Army and PLA.
Col Rajiv Chauhan

(h)Complexities and Challenges of Nuclear India.
Dr Roshan Khaniejo

(j)Asymmetric Warfare Capabilities of China
Col Saif Khan

(k)Water Security
Col Vishal Murada

(l)Cyber Warfare
Col Sanjeev Relia

(m) Military Aspects of Communication and Information Technology
Lt Col Naveen Xavier

(n)Net Assessment and CNP.
Gp Capt Sharad Tewari

22. **Sponsored Projects.** Following studies have been completed :-

(a)**Net Assessment Study on Strategic Balance in South Asia.** HQ IDS.

(b)**Net Assessment Study on War Waging Potential of China : 2025.** HQ IDS.

23. **Strategy Workshop for Foreign Service Institute, MEA.** The fifth workshop was conducted at USI from 01 to 05 Apr 2013. 35 officers attended.

24. **Strategic Gaming Exercise, NDC.** This year two exercises were conducted from 05 to 08 Aug 2013 on the theme of Left Wing Extremism and from 06 – 11 Oct 2013 on the theme of "Geopolitical and Security Developments in Asia – Pacific and IOR".

25. **Workshop at RSIS Singapore.** A four member team of USI conducted a workshop at RSIS Singapore from 28 to 31 Oct 2013. The class comprised professors and doctoral researchers from many countries. The workshop comprised the Art of Long Term Strategic View, Net Assessment, National Security, Scenario Building and Strategy Gaming Exercise.

26. **Royalty.** This year Rs.1.25 lakhs. Total so far Rs.10.49 lakhs for 63 books.

USI CENTRE FOR ARMED FORCES HISTORICAL RESEARCH(USI-CAFHR)

Publications

27. Following book was published during the year :-

(a) A Talent for War : The Military Biography of Lt Gen Sagat Singh.

Maj Gen Randhir Singh

(b) Recollections of the Sela Bomdila Debacle 1962.

Maj Gen Jaidev Singh Datta

(c) Territorial Army : History of India's Part Time Soldiers.

Maj Surender Singh

Ongoing Research

28.(a) History of Wapits in the IAF. (under publication)

Shri Jagan Pilarasetti

(b) History of Spitfires in IAF. (under publication)

Air Cmde Vikram Singh

(c) Customs and Traditions of the Indian Armed Forces. (under publication)

Brig SP Sinha

(d) Life and Times of Fd Mshl Sam Manekshaw. (under publication)

Brig BM Panthaki

(e) History of Helicopters in the IAF.

Sqn Ldr Rana TS Chhina

(f) The Imperial Cadet Corps 1990 – 1914.

Dr Chander Sundaram

(g) Alha-Udal Ballad Rendition of Western UP.

Dr Amit Pathak

(h) Battle of Chhamb 1971.

Maj Gen AJS Sandhu

(k) Military History of Bene Israel Jews in the Indian Army.

Sqn Ldr Rana TS Chhina

Sponsored Projects

29. **Coffee Table Book on Indian War Memorials.** Supported by the MEA.
30. **World War I Commemoration.** A separate briefing has been scheduled for the Council. A website has been specifically designed for this.

Royalty

31. This year Rs. 6,955 /-. Total so far Rs.2.79 lakhs for 7 books.

General Palit Military Studies Trust

32. Following books were published during the year :-
- (a) The Maharaja's Paltans : A History of the Indian State Forces (1888-1948)
(2 Vols Set) by Mr Richard Head and Mr AN McClenaghan.
- (b) By Sweat and Sword : Trade Diplomacy and War in Kerala Through the Ages by
Wg Cdr KK Nair
33. Following research is under progress :-
- Command and Organisation of the British and Indian Army during the Mesopotamian Campaign 1916 – 18, Mr Andrew Syk.

USI CENTRE FOR UN PEACEKEEPING (USI-CUNPK)

34. **Courses.** During the year eight courses were run for 318 Indian officers and two international courses were run in which 44 foreign and 66 Indian officers were trained. The courses were :-

				<u>Participants</u>	
				<u>Foreigners</u>	<u>Indian</u>
(a)	<u>NUNC-34</u>	14 Jan	- 18 Jan 2013	-	27
(b)	<u>NUNC-35</u>	28 Mar	- 26 Mar 2013	-	70
(c)	<u>UNMOC-13</u>	15 Apr	- 10 May 2013	23	31
(d)	<u>NUNC-36</u>	27 May	- 31 May 2013	-	43
(e)	<u>NUNC-37</u>	24 Jun	- 28 Jun 2013	-	30
(f)	<u>NUNC-38</u>	22 Jul	- 26 Jul 2013	-	19
(g)	<u>NUNC-39</u>	12 Aug	- 17 Aug 2013	-	22
(h)	<u>UMCOC-13</u>	02 Sep	- 20 Sep 2013	21	35
(j)	<u>NUNC-40</u>	07 Oct	- 11 Oct 2013	-	62
(k)	<u>NUNC-41</u>	11 Nov	- 15 Nov 2013	-	45

CORRESPONDENCE COURSES

35. Results of various examinations were as under :-

(a) **Part B Examination Jul 2013.**

- | | | |
|-----------------------------|---|--|
| (i) No of Students enrolled | - | 1180(LM -378, OM New-404, OM Old -398) |
| (ii) No Appeared in Exam | - | 1121 |
| (iii) Percentage Passed :- | | |
| (aa) All Subjects | - | 23.81% |
| (ab) Part | - | 51.65% |

(b) **Part D Examination Oct 2013.**

- | | | |
|---|---|---------------------------------------|
| No of Students enrolled
(Result awaited) | - | 970 (LM -245, OM New-159, OM Old-566) |
|---|---|---------------------------------------|

(c) **DSSC Army Sep 2013 Exam.**

- | | | | | |
|----------------------------|---|--|-------------|-------------|
| (i) No of Students | - | 757 (LM -184, OM New -245, OM Old-328) | | |
| (ii) No appeared in exam | - | 722 | | |
| (iii) Nominated | - | <u>2011</u> | <u>2012</u> | <u>2013</u> |
| | | 205 | 196 | 209 |
| (iv) Competitive Vacancies | - | <u>2011</u> | <u>2012</u> | <u>2013</u> |
| 2011 & 12 : 40 | | 39 | 38 | 19 |
| 2013 : 20 | | | | |
| (v) Reserve | | 20/25 | 25/27 | 10/15 |

36. **Contact Programme DSSC (Army).** Three contact programmes were conducted this year 10 – 15 Jun, 1 – 6 Jul and 15 – 20 Jul. 136 officers attended.

GOLD MEDAL ESSAY COMPETITION 2013

37. 71 entries were received for Group A, subject being . "Bridging the Gap – Balancing Personal Aspirations and Service Requirements in the Armed Forces". 45 entries were received for Group 'B', subject being "Officer-Men Relationship : A Critical Appraisal".

CONCLUSION

38. The USI continues to grow steadily in all fields of its activities and fulfills the aim and vision for which it was set up.

39. I would like to thank all members of the Council for their support. I would also like to compliment the Director and Staff for their dedication to the USI. The meeting of the newly elected Council whose details are at Annexure 2 will be convened early next year.

40. I would also like to take this opportunity to convey my best wishes for the New Year to all members of the USI.

TALKS / DISCUSSIONS – 2013

1. 29 Jan 2013 The New Soldier in Age of Asymmetric Conflict.
Dr Rumu Sarkar
2. 20 Feb 2013 Legality in the Use of Drones in Armed Conflict.
Wg Cdr UC Jha (Retd)
(CS3 Event)
3. 03 Apr 2013 Logistics Support Chain Management in Indian Army and PLA
Col Rajiv Chauhan
(CS3 Event)
4. 09 Apr 2013 Integrated Maritime Security
Dr P Paleri
(CS3 Event)
5. 12 Apr 2013 Afghanistan and ICRC's Future Challenges.
Mr Yves Dacord, DG ICRC
6. 15 Apr 2013 The European Union – Facing Global Challenges.
Mr Joao Cravinho, Ambassador and Head EU Delegation
7. 17 Apr 2013 PLA Modernisation and Likely Force Structure by 2025
Col NSP Bisht
(CS3 Event)
8. 08 May 2013 India's Role in Post 2014 Afghanistan.
Shri YK Sinha, IFS
9. 13 May 2013 Complexities and Challenges of Nuclear India
Dr Roshan Khaniejo
(CS3 Event)
10. 20 May 2013 Military Application of Space
Gp Capt RK Singh
(CS3 Event)
11. 29 May 2013 Dynamics of Preventive Diplomacy
Shri RS Kalha, IFS (Retd)
(CS3 Event)
12. 07 Jun 2013 Ladakh Incident.
Shri Gautam Bambawale, IFS
13. 03 Jul 2013 The Third Dimension: Air Power in Combating the Maoist Insurgency
Gp Capt AK Agarwal
(Event was held at India International Centre)
14. 10 Jul 2013 The Art of Generalship
Lt Gen KK Khanna, PVSM, AVSM & Bar (Retd)
(CS3 Event)
15. 18 Jul 2013 National Cyber Security Policy 2013
Lt Gen Davinder Kumar, PVSM, VSM* (Retd)
16. 13 Aug 2013 Diaspora, Foreign Policy and National Security.
Capt JC Sharma, SM, IFS (Retd)

- | | | |
|-----|-------------|---|
| 17. | 11 Sep 2013 | Impressions of a Recent Visit to Taiwan.
Maj Gen SV Thapliyal |
| 18. | 25 Sep 2013 | Complexities and Challenges of Nuclear India
Dr Roshan Khaniejo
(CS3 Event) |
| 19. | 14 Oct 2013 | Impressions of a Recent Visit to China and Taiwan
China – USI Delegation
Taiwan – Col Rajiv Chauhan |
| 20. | 23 Oct 2013 | Military Aspects of Communication and Information Technologies
Networks
Lt Col Naveen Xavier
(CS3 Event) |
| 21. | 20 Nov 2013 | Impact of Economic Growth, Science and Technology Advancements on
China's Geo Strategic Thinking and Military Modernisation.
Col SI Khan
(CS3 Event) |
| 22. | 26 Nov 2013 | PLA Modernisation and Likely Force Structure by 2010
Col NSP Bisht
(CS3 Event) |
| 23. | 27 Nov 2013 | Alha-Udal Ballad Rendition of Western UP
Dr Amit Pathak
(CAFHR Event) |
| 24. | 04 Dec 2013 | Analysis of Logistics Support Chain Management of Indian Army and PLA
Col Rajiv Chauhan
(CS3 Event) |
| 25. | 18 Dec 2013 | Battle of Chhamb
Maj Gen AJS Sandhu, VSM (Retd)
(CAFHR Event) |
| 26. | 23 Dec 2013 | Water Security: Myths, Reality and Measures
Col Vishal Murada
(CS3 Event) |

Panel Discussions

- | | | |
|-----|-------------|---|
| 27. | 04 Oct 2013 | Reappraisal of India's Nuclear Deterrence.
Panelists :- Lt Gen Vinay Shankar, Shri Rakesh Sood, Prof Bharat Karnad, Lt Gen BS Nagal, Rear Adm KR Menon, Dr Roshan Khaniejo, Dr Manpreet Sethi, Dr R Rajaraman |
| 28. | 28 Nov 2013 | Defence, Deterrence and Stability in South Asia and Neighbouring Countries.
Panelists :- Shri Rakesh Sood, Shri Vivek Katju, Lt Gen Vinay Shankar, Rear Adm KR Menon, Vice Adm AK Singh, Maj Gen BK Sharma, Shri Bhagat Karnad, Ms Arundhati Ghose, Brig VK Nair, Shri CR Gharekhan, Shri Rahul Roy-Chaudhury, Mr Mark Fitzpatrick, Mr Alexander Neill, Prof John H Gill (last 4 from IISS UK) |

Interaction with Foreign Institutions/Individuals

29. 07 Jan 2013 Mr Christian Keingkowski
Far Observer, Germany
30. 07 Jan 2013 COAS, Nepal
31. 15 Jan 2013 John Hopkins University Students (15)
32. 23 Jan 2013 Mr Robert Fonberg, Dy Defense Minister, Canada
Mr Francois Guimout, Dy Minister of Public Safety, Canada
Mr Steward Beck, High Commissioner of Canada
33. 31 Jan 2013 Mr Chung-Kwang
Taipei Economic and Cultural Center
34. During visit to Washington and New York in Feb 2013, Lt Gen PK Singh, Director USI, interacted with the following:-
 - (a) **At Washington**
 - (i) 11 Feb 2013 RTD at John Hopkins University on Asia-Pacific Security
 - (ii) 11 Feb 2013 Dr Walter Anderson – John Hopkins University
 - (iii) 11 Feb 2013 Dr Satu Limaye, East West Center
 - (iv) 11 Feb 2013 Meeting at Fair Observer
 - (b) **At New York**
 - (i) 13 Feb 2013 Mr James L Creighton, Chief of Staff, East West Institute
 - (ii) 13 Feb 2013 Ms Sarah Cliffe, Asst Secretary General (CIVCAP), UNO
 - (iii) 13 Feb 2013 Meeting with ZIF Berlin and FBA Stockholm
 - (iv) 14 Feb 2013 Mr MS Puri, Dy Permanent Rep of India at UNO
 - (v) 14 Feb 2013 Mr Anthony Banbury, Asst Secretary General, UNDPKO
Mr Dimitry Titot, Asst Secretary General (Rule of Law & Security Institution, UNDPKO
Ms Ameerah Haq, Under Secretary General (DFS), UNO
 - (vi) 15 Feb 2013 Ms Anita Mathur, Senior Political Officers, UNO
35. 19 Feb 2013 Dr Olli Ruohamaki
Dy Director (Asia and Oceania), Ministry of Foreign Affairs, Finland
36. 21 Feb 2013 Prof Thomas Lunch
NDU, USA
37. 28 Feb 2013 Ms Mary Werntz
Regional Head ICRC Delegation
38. 11 Mar 2013 RSIS Singapore
Prof Rajesh Basrur and three officers.
39. 11 Mar 2013 Asia – Pacific Institute, USA
Mr Robert Elmar and ten officers.
40. 13 Mar 2013 South Korean Staff College
41. 15 Mar 2013 Singapore Army Delegation
42. 15 Mar 2013 Mongolian National Security Council Delegation

43. 15 Mar 2013 Australian Department of Defence
44. 19 Mar 2013 Singapore Army Chief
Maj Gen Ravinder Singh
45. 20 Mar 2013 Ms Anne – Grete Strom
Norwegian Defence Minister
46. 29 Mar 2013 Galili Institute, Israel
Maj Gen Baruch Levy and two others.
47. 16 Apr 2013 Dr Amarjit Singh
48. 23 Apr 2013 NDC Philipines
Dr Fermin R De Leon and 21 officers.
49. 23 Apr 2013 Sri Lanka Delegation
Maj Gen GVD Perera and nine others.
50. 25 Apr 2013 Mr Murray Scot Tanner
51. 29 Apr 2013 Mr Richard Burge
Wilton Park
52. 02 May 2013 Taiwan Academic Delegations
53. 02 May 2013 Singapore Intelligence Delegations
54. 06 May 2013 Gen Eiji Kimizuka
Chief of Staff, Japanese Ground SDF.
55. 07 May 2013 Mr Erkki Tuomioja, Foreign Minister, Finland
Ms Elinee Kalka, DG, Foreign Minister, Finland
Mr Aapo Polho, Ambassador of Finland
56. 10 May 2013 MSSC Delegation, Kazakhstan
Mr Maulen Ashimbayev, MP
Prof Bakeytzhan Abdirogyun
57. 23 May 2013 Mr Aapo Polho
Ambassador of Finland
58. 24 May 2013 India Brazil South Africa (IBSA)
Joint Working Group (35 officers).
59. 03 Jun 2013 Centre for Defence Strategic Studies, Australia
Col Michael Ashleigh and 11 others.
60. 05 Jun 2013 Polish Military Delegation
Lt Col G Motak.
61. 10 Jun 2013 Mr Shaida Mohamed
Ambassador of Afghanistan
62. 21 Jun 2013 Malaysia-India Defence Cooperation Meeting (MIDCOM)
Dr HIBH Ahmad, Secretary General MOD.
63. 09 Jul 2013 Ms Beate Meeder-Metcalf
Director East-West Institute, Brussels

64. 12 Jul 2013 Dr Najib Sharifi
Afghan Journalists Safety Committee
65. 24 Jul 2013 Dr Tavaness Rebecca
UN Rep for Women (India, Bhutan, Sri Lanka and Maldives)
66. 25 Jul 2013 Mr Prasad Kariyawasam
High Commissioner of Sri Lanka, and Dy High Commission
67. 30 Jul 2013 Burkina Faso Delegation
68. 04 Aug 2013 Mr Sunimal Fernando, Advisor to President Sri Lanka
Mr Prasad Kariyawasam
High Commissioner of Sri Lanka
69. 16 Aug 2013 Sri Lanka Defence Services Command and Staff College
Brig DAPN Dematanpitiya and 33 officers.
70. 19 Aug 2013 Australian Command and Staff College
Brig Peter C Gates, Commandant and 12 Officers.
71. 26 Aug 2013 Dr Stephen Burgess, USA
72. 30 Aug 2013 Mr SM Aldali, Afghan Ambassador
73. 12 Sep 2013 Maj Gen Batoo Tshering, Chief Operation Officer, Bhutan Army
74. 13 Sep 2013 Maj Gen Talaibek, Defence Minister of Kyrgyzstan
75. 13 Sep 2013 Mr Peter George W Tsai
Vice President Taiwan Foundation for Democracy
76. 23 Sep 2013 NDC Bangladesh
77. 23 Sep 2013 NIDS Japan
78. 17 Oct 2013 Algerian Military Delegations
General Abdulkrim Benyahia plus 3 and Mr Echarif Mohd Hacene,
Ambassador of Algeria (Hacene).
79. 20 Nov 2013 Mongolian Defence Delegations
Maj Gen D Bayarsaikhan
80. 29 Nov 2013 Mr Yakubov and Mr Sh Atajanov
Centre for Foreign Policy Studies, Uzbekistan
81. 31 Dec 2013 Mr Bruce Jacobs
Taiwan

LIST OF COUNCIL MEMBERS ELECTED FOR THE PERIOD 01 JAN 2014 – 31 DEC 2016

1. Lt Gen Ajeet Singh Bajwa, PVSM, AVSM, VSM (Retd)
2. Lt Gen Vinod Bhatia, AVSM, SM
3. Lt Gen Prakash Chand Katoch, PVSM, UYSM, AVSM, SC (Retd)
4. Lt Gen Kamal Krishna Khanna, PVSM, AVSM & Bar (Retd)
- ✓ 5. Lt Gen Milan Lalitkumar Naidu , PVSM, AVSM, YSM (Retd)
- ✓ 6. Lt Gen Satish Nambiar, PVSM, AVSM, VrC (Retd)
7. Air Mshl Kishan Kumar Nohwar, PVSM, VM (Retd)
8. Cmde Sujeet Samaddar, NM (Retd)
9. Lt Gen Vinay Shankar , PVSM, AVSM, VSM (Retd)
10. Lt Gen Chandra Shekhar, PVSM, AVSM (Retd)
- ✓ 11. Vice Adm Arun Kumar Singh, PVSM, AVSM, NM (Retd)
- ✓ 12. Air Mshl Avdesh K Singh, PVSM, AVSM, VM, VSM (Retd)
- ✓ 13. Maj Gen SV Thapliyal, SM (Retd)
14. Lt Gen Mathew Thomas , PVSM, AVSM, VSM (Retd)

Appendix 'B'

(Refers to Para 9 of Minutes of
Council Meeting held on 19 Dec 2013)**BUDGET / REVISED ESTIMATES – CORPUS FUND – INCOME**

S	PARTICULARS	RE	ACTUAL AUDITED	BE	RE	BE
NO		2012-13	2012-13	2013-14	2013-14	2014-15
(a)	(b)	(c)	(d)	(e)	(f)	(g)
CORPUS FUND						
1	Membership.					
	(a) Entrance Fee	15,50,000	17,60,100	16,00,000	17,00,000	17,00,000
	(b) LM Subscription	28,50,000	36,76,700	29,00,000	30,00,000	33,00,000
	(c) OM/AM/CM/TM Subscription	31,00,000	33,86,787	29,50,000	30,00,000	32,00,000
		75,00,000	88,23,587		77,00,000	
2	Journal Subscription	2,20,000	1,99,232	2,20,000	1,50,000	1,50,000
3	Journal Sale & Advertisement	1,25,000	2,29,900	1,40,000	1,40,000	1,40,000
4	Publications Sale (Less Research/Digest)	5,000	4,935	6,000	4,000	4,000
5	Digest Sale / Subscription	2,40,000	3,61,294	2,40,000	1,29,100	--
6	Net Surplus from Courses	25,00,000	12,98,423	26,00,000	13,50,000	14,00,000
7	Interest, Brokerage, Dividend	1,25,00,000	1,37,97,784	1,35,00,000	1,40,00,000	1,40,00,000
8	Grants / Reimbursement	50,00,000	48,15,190	15,00,000	10,46,000	40,000
9	Misc	2,50,000	2,91,160	2,44,000	2,00,000	2,00,000
		2,83,40,000	2,98,21,505	2,59,00,000	2,47,19,100	2,41,34,000
ADM FUND						
10	Hiring of Auditorium and SRs	18,00,000	20,06,910	18,00,000	19,00,000	19,00,000
11	Licence Fee	1,10,000	1,05,817	1,20,000	1,05,000	1,10,000
12	Residency/Restaurant Contract (Less Licence Fee, Electricity, Water & Temp Membership)	88,00,000	90,59,146	80,00,000	77,00,000	70,00,000
13	Electricity.	16,00,000	16,31,077	16,00,000	18,00,000	20,00,000
14	Water (RRPL)	48,000	48,000	48,000	48,000	48,000
15	Property Tax Share (RRPL)	86,500	86,446	86,500	1,21,000	95,100
		1,24,44,500	1,29,37,396	1,16,54,500	1,16,74,000	1,11,53,100
	Total Income	4,07,84,500	4,27,58,901	3,75,54,500	3,63,93,100	3,52,87,100
	Expenditure (see next pages)	3,67,02,500	3,79,31,636	3,45,25,000	3,34,58,400	3,51,05,000
	Excess Income over Exp	40,82,000	*48,27,265	30,29,500	29,34,700	1,82,100

* Allotment to CS3/CAFHR

45,00,000

45,00,000

Net excess

3,27,265

cash held on 31 Mar 13

31,02,921

BUDGET / REVISED ESTIMATES -CORPUS FUND - EXPENDITURE

SER NO	ITEMS	RE	ACTUAL AUDITED	BE	RE	BE
		2012-13	2012-13	2013-14	2013-14	2014-15
(a)	(b)	(c)	(d)	(e)	(f)	(g)
ADM FUND						
1	Officers Pay & Allowances	28,50,000	29,55,283	32,00,000	37,00,000	39,00,000
2	Transport Hiring	1,50,000	1,32,237	1,60,000	1,80,000	1,90,000
3	Staff Pay & Allowances	75,50,000	80,50,688	84,00,000	87,00,000	89,00,000
4	EPF Liability (Incl Adm Chrges)	3,70,000	3,60,936	3,70,000	3,60,000	3,50,000
5	Gratuity Provision	5,80,000	5,80,314	6,30,000	7,70,000	4,50,000
6	Legal / EPF Consultancy	1,20,000	92,000	90,000	1,10,000	1,50,000
7	Printing & Stationery	80,000	1,53,891	1,50,000	1,50,000	1,25,000
8	Postage / Mail Delivery	50,000	44,445	1,00,000	1,10,000	50,000
9	Photocopier	25,000	23,514	26,000	26,000	26,000
10	Contingencies	20,000	19,493	20,000	20,000	22,000
11	Telephones	1,65,000	1,40,266	1,70,000	1,50,000	1,50,000
12	Audit / IT Return Fee	75,000	82,107	80,000	80,000	90,000
13	Exec/Council/Other Meetings	60,000	73,019	70,000	70,000	80,000
14	Insurance	1,10,000	98,850	1,10,000	1,10,000	1,10,000
15	Eqpt Repair & Maintenance	14,00,000	13,20,560	15,00,000	15,00,000	16,00,000
16	Building Maintenance	19,50,000	18,17,283	10,00,000	4,00,000	5,00,000
17	Water	4,80,000	4,80,000	4,80,000	---	---
18	Electricity	16,00,000	12,79,439	17,00,000	21,00,000	24,00,000
19	FOL	2,00,000	2,26,998	2,25,000	1,50,000	2,50,000
20	Security & Housekeeping Contract	22,30,000	22,30,708	24,50,000	26,00,000	28,00,000
21	Gardening Contract	4,60,000	4,65,000	5,00,000	5,10,000	5,10,000
22	Electrical/AC Services Contract	6,81,000	6,70,947	7,50,000	7,50,000	7,50,000
23	Pest Control Contract	18,500	18,500	19,000	20,000	20,000
24	Write Off	8,00,000	10,87,372	3,00,000	3,00,000	4,00,000
25	Capital Expenditure (Property)	12,00,000	15,33,236	8,00,000	4,00,000	8,00,000
26	Depreciation	16,00,000	15,77,071	16,00,000	16,00,000	16,00,000
27	Web Site	30,000	29,000	35,000	55,000	60,000
28	Automation	5,000	---	5,000	35,000	15,000
29	Property Tax	3,46,000	3,45,785	3,46,000	4,84,800	3,80,500
30	Misc Expenditure	1,00,000	30,087	1,00,000	1,04,200	1,01,500
		2,53,05,500	2,59,19,029	2,53,86,000	2,55,45,000	2,67,80,000

Contd.....

BUDGET / REVISED ESTIMATES –
CORPUS FUND – EXPENDITURE CONTD...

SER NO	ITEMS	RE	ACTUAL AUDITED	BE	RE	BE
		2012-13	2012-13	2013-14	2013-14	2014-15
(a)	(b)	(c)	(d)	(e)	(f)	(g)
EDUCATIONAL FUND						
31	Talks / Discussions / NS Paper / Seminar	19,00,000	21,86,774	12,00,000	11,00,000	13,00,000
32	Civilian Cap Building Project	----	3,46,871	-----	1,00,000	-----
33	Essay Competition	95,000	1,07,950	95,000	85,000	90,000
34	Journal	27,00,000	29,91,122	28,00,000	32,00,000	33,00,000
35	Digest	1,50,000	1,41,728	1,50,000	68,400	-----
36	Interaction with Foreign Institutions/Visitors	17,00,000	22,79,525	18,00,000	11,00,000	15,00,000
37	Glacier Study	1,10,000	96,800	1,00,000	1,50,000	50,000
38	Activities at Other Stations	20,000	16,770	20,000	25,000	25,000
39	UNITAR Courses	23,50,000	23,47,830	12,00,000	-----	-----
40	Project Studies	9,00,000	79,764	2,00,000	1,20,000	50,000
		99,25,000	1,05,95,134	75,65,000	59,48,400	63,15,000
LIBRARY FUND						
41	Books	2,75,000	2,61,073	3,00,000	3,25,000	3,30,000
42	Periodicals	4,25,000	4,07,880	4,50,000	4,50,000	4,60,000
43	News Papers	35,000	25,186	35,000	35,000	35,000
44	Printing & Stationery	65,000	48,928	65,000	70,000	70,000
45	Photocopier (AMC + Paper)	20,000	12,384	25,000	20,000	20,000
46	Postage	17,000	15,945	18,000	18,000	18,000
47	DELNET	15,000	7,500	16,000	12,000	12,000
48	Internet	2,50,000	3,23,621	2,75,000	6,65,000	6,75,000
49	Automation / Digitisation	1,80,000	1,54,757	1,90,000	1,90,000	2,00,000
50	Contingencies	10,000	7,200	10,000	10,000	10,000
51	Depreciation on Magazines	1,80,000	1,52,999	1,90,000	1,70,000	1,80,000
		14,72,000	14,17,473	15,74,000	19,65,000	20,10,000
	TOTAL	3,67,02,500	3,79,31,636	3,45,25,000	3,34,58,400	3,51,05,000

COURSE SECTION ESTIMATES**INCOME**

<u>S NO</u>	<u>ITEM</u>	<u>RE</u>	<u>ACTUAL</u>	<u>RE</u>	<u>NO. OF STUDENTS</u>		
		2012-13	2012-13	2013-14	2012	2011	2010
1.	DSSC Army	37,00,000	24,86,960	30,00,000	1077	1308	1309
2.	DSSC Navy	25,000	19,600	22,000	35	47	53
3.	TSC Army	1,50,000	69,200	50,000	64	49	51
4.	Part B	18,00,000	19,11,650	19,00,000	1256	756	546
5.	Part D	19,25,000	20,35,300	20,00,000	1248	1,085	983
					3,680	3,245	2,942
6.	Contact Programmes and sale of course material	7,00,000	7,66,380	8,00,000	194	180	206
	TOTAL INCOME	83,00,000	72,89,090	77,72,000	3,874	3,425	3,148
	Expenditure	58,00,000	59,90,667	64,22,000			
	Excess of income over expenditure	25,00,000	12,98,423	13,50,000			

COURSE SECTION ESTIMATES

EXPENDITURE

<u>SER NO</u>	<u>ITEM</u>	<u>RE 2012-13</u>	<u>ACTUAL AUDITED 2011-12</u>	<u>RE 2013-14</u>
1.	Pay and Allowances Staff	25,50,000	26,77,483	30,00,000
2.	Gratuity Provision	1,05,000	1,22,581	1,40,000
3.	EPF	1,16,000	95,544	95,600
4.	Photocopy paper / Copying / Printing, Stationery	14,25,000	13,60,727	14,50,000
5.	Postage	3,25,000	3,33,085	3,50,000
6.	Payment to Instructors	5,50,000	6,24,970	6,50,000
7.	DSSC Contact Programme	7,18,000	7,70,324	7,25,000
8.	Telephone Bills	7,000	5,521	6,000
9.	Contingency	4,000	432	5,400
Total		58,00,000	59,90,667	64,22,000

USI-CS3 ESTIMATES

	RE 12-13	ACTUAL 12-13	RE 13-14
INCOME			
1. Interest / Brokerage	12,65,000	11,65,060	12,00,000
2. Royalty	1,23,300	1,23,368	1,14,500
3. Sale of Research Publications	1,200	3,693	1,000
4. Projects / Courses / Exercises(Annexure1)	21,84,180	17,23,323	22,38,500
5. Security Refund	---	35,000	---
6. Allotment from Corpus Fund	30,00,000	30,00,000	30,00,000
7. Misc	1,500	12,000	6,000
	65,75,180	60,62,444	65,60,000
EXPENDITURE			
8. Pay and Allowances, PF	13,00,000	13,95,610	16,10,000
9. Gratuity Provision	14,000	14,221	53,000
10. Stationery / Photocopying / Printing	75,000	1,16,365	1,70,000
11. BOM / Other Meetings	10,000	11,071	12,000
12. Telephone Bills	5,000	6,164	8,000
13. Travel Expenses	6,000	9,803	30,000
14. Postage and Bank Charges	4,000	3,210	3,000
15. Fellowship / Honorarium(Annexure2)	11,36,800	11,46,854	22,55,000
16. Talks / Presentations	30,000	34,285	60,000
17. Purchase of Books / Subscriptions	60,000	1,45,360	50,000
18. Projects / Courses / Exercises(Annexure1)	9,37,075	7,77,339	15,55,700
19. Interest on FD Loan	---	3,43,500	---
20. Misc	2,825	2,390	3,300
	35,80,700	40,06,172	58,10,000
Surplus of income over expenditure	29,94,480	20,56,272	7,50,000

Annexure 1
(Refers to USI-CS3 Estimates)

PROJECTS COURSES / EXERCISES

SER NO.		RE 12-13	ACTUAL 12-13	RE 13-14
<u>INCOME</u>				
1.	CNP Model for India (ARTRAC)	6,00,000	6,00,000	---
2.	Space Assets for Land Ops (ARTRAC)	3,39,180	3,39,150	---
3.	FSI Workshop	2,90,000	2,90,088	3,18,500
4.	Strategic Balance in South Asia (HQ IDS)	3,75,000	---	6,75,000
5.	War Waging Potential of China (HQ IDS)	3,25,000	---	5,85,000
6.	Strategy Gaming Exercises (NDC)	1,65,000	1,52,100	4,20,000
7.	Net Assessment Course (Navy)	90,000	2,45,985	---
8.	JOCAP – 13 (AWC)	--	96,000	---
9.	Scenario Planning Workshop for RSIS	--	---	2,40,000
		21,84,180	17,23,323	22,38,500
<u>EXPENDITURE</u>				
10.	CNP Model for India (ARTRAC)	91,000	97,779	---
11.	Strategic Directions – China (Western Naval Command)	----	62,300	---
12.	Space Assets for Land Ops (ARTRAC)	1,00,000	89,421	---
13.	FSI Workshop	1,60,875	1,18,875	1,54,700
14.	Strategic Balance in South Asia (HQ IDS)	1,30,000	14,745	4,50,000
15.	War Waging Potential of China (HQ IDS)	2,10,000	4,748	4,00,000
16.	Strategy Gaming Exercises (NDC)	1,75,200	1,71,968	3,60,000
17.	Net Assessment Course (Navy and HQIDS)	70,000	1,35,903	30,000
18.	JOCAP – 13 (AWC)	---	81,600	1,000
19.	Scenario Planning Workshop at RSIS	---	---	1,60,000
		9,37,075	7,77,339	15,55,700

Annexure 2
Refers to USI CS3 Estimates

FELLOWSHIP / HONORARIUM – 2013 - 14

FELLOWSHIP

1.	Maj Gen BK Sharma	37,500	}
		4,80,000	
2.	Maj Gen PK Goswami	3,10,000	
3.	Prof Nirmala Joshi	2,25,000	
4.	Dr Roshan Khaniejo	3,20,000	
5.	Gp Capt Sharad Tewari	3,00,000	
6.	Shri Raj Kumar Sharma	2,00,000	
7.	Shri RS Kalha	1,05,000	
8.	Dr Prabhakaran Paleri	1,22,500	
9.	Maj Gen KK Khanna	1,35,000	
10.	Lt Gen VK Saxena	5,000	
11.	Gp Capt AK Agarwal	5,000	
12.	Lt Col Harish Katoch	5,000	
13.	Lt Col Vivek Dhall	5,000	
		22,55,000	

USI - CAFHR ESTIMATES

	<u>RE 12-13</u>	<u>ACTUAL 12-13</u>	<u>RE 13-14</u>
<u>INCOME</u>			
1. Accrued/received Interest and brokerage	6,42,000	7,53,918	7,20,000
2. Sale of Books	1,40,000	1,54,695	40,000
3. Royalty	15,830	15,829	6,000
4. Project Grant – History of Peacekeeping	3,00,000	----	----
5. WWI Project (see Annex-3)	---	---	37,20,000
6. Indian War Memorials Projects(see Annex-3)	---	----	6,25,000
7. Allotment from Corpus	15,00,000	15,00,000	15,00,000
8. Misc (Incl postage received)	2,170	200	1,000
	<u>26,00,000</u>	<u>24,24,642</u>	<u>66,12,000</u>
<u>EXPENDITURE</u>			
9. Pay and Allowances, EPF Liability	13,00,000	13,44,923	15,00,000
10. Research Assistants (Projects)	----	----	4,20,000
11. Gratuity Provision	50,000	49,090	52,000
12. Photocopier, Stationery	15,000	29,122	55,000
13. Telephone	4,500	4,215	4,500
14. Postage and Bank Charges	2,000	2,333	2,000
15. BOM and other Meetings	3,000	6,227	7,000
16. Purchase of Books	2,000	3,815	3,000
17. Talks	4,000	1,519	12,000
18. Fellowship Grant (see Annex-3)	15,000	12,000	3,51,000
19. Transcription work / editing	----	1,800	5,000
20. WWI Projects (see Annex-3)	----	5,058	23,82,000
21. Indian War Memorial Project (see Annex-3)	----	----	10,00,000
22. Military Record Conference	----	2,18,792	----
23. Misc	4,500	1,455	14,500
	<u>14,00,000</u>	<u>16,80,349</u>	<u>58,48,000</u>
Excess of income over expenditure	12,00,000	7,44,293	7,64,000

Annexure 3
(Refers to CAFHR Estimates)

2013 – 14

<u>INCOME</u>		<u>EXPENDITURE</u>	
<u>India War Memorial Project</u>			
MEA	<u>6,25,000</u>	Book	<u>10,00,000</u>
(6,25,000 received in 11 – 12)			
<u>WW1 Project</u>			
MEA	17,70,000	Mar 2014 Seminar	13,25,000*
British High Commission (for projects	19,50,000	Guide Books	5,00,000
		UK Trip	1,00,000
		British Author	4,57,000
for 4 yrs)	<u>37,20,000</u>		<u>23,82,000</u>

FELLOWSHIPS

	Maj Gen Randhir Sinh	1,00,000
	Air Cmde Vikram Singh	1,00,000
	Maj Gen AJS Sandhu	54,000
	Dr Amit Pathak	97,000
		<u>3,51,000</u>
*	Air Tickets	7,50,000
	Accn/Catering	4,50,000
	Agra Trip	50,000
	Prep/Conduct/Misc	75,000
		<u>13,25,000</u>